Astro 449 Linear Growth Wayne Hu

Metric Tensor

- Useful to think in a 3 + 1 language since there are preferred spatial surfaces where the stress tensor is nearly homogeneous
- In general this is an Arnowitt-Deser-Misner (ADM) split
- Specialize to the case of a nearly FRW metric

$$g_{00} = -a^2, \qquad g_{ij} = a^2 \gamma_{ij}.$$

where the "0" component is conformal time $\eta = dt/a$ and γ_{ij} is a spatial metric of constant curvature $K = H_0^2(\Omega_{tot} - 1)$.

$$^{(3)}R = \frac{6K}{a^2}$$

Metric Tensor

• First define the slicing (lapse function A, shift function B^i)

$$g^{00} = -a^{-2}(1-2A),$$

 $g^{0i} = -a^{-2}B^{i},$

- A defines the lapse of proper time between 3-surfaces whereas B^i defines the threading or relationship between the 3-coordinates of the surfaces
- This absorbs 1+3=4 free variables in the metric, remaining 6 is in the spatial surfaces which we parameterize as

$$g^{ij} = a^{-2}(\gamma^{ij} - 2H_L\gamma^{ij} - 2H_T^{ij}).$$

here (1) H_L a perturbation to the scale factor; (5) H_T^{ij} a trace-free distortion to spatial metric (which combined perturb the curvature)

Curvature Perturbation

• Curvature perturbation on the 3D slice

$$\delta[^{(3)}R] = -\frac{4}{a^2} \left(\nabla^2 + 3K\right) H_L + \frac{2}{a^2} \nabla_i \nabla_j H_T^{ij}$$

- Note that we will often loosely refer to H_L as the "curvature perturbation"
- We will see that many representations have $H_T = 0$
- It is easier to work with a dimensionless quantity
- First example of a 3-scalar SVT decomposition

Matter Tensor

 Likewise expand the matter stress energy tensor around a homogeneous density ρ and pressure p:

$$T^{0}_{0} = -\rho - \delta \rho,$$

$$T^{0}_{i} = (\rho + p)(v_{i} - B_{i}),$$

$$T^{i}_{0} = -(\rho + p)v^{i},$$

$$T^{i}_{j} = (p + \delta p)\delta^{i}_{j} + p\Pi^{i}_{j},$$

- (1) δρ a density perturbation; (3) v_i a vector velocity, (1) δp a pressure perturbation; (5) Π_{ij} an anisotropic stress perturbation
- So far this is fully general and applies to any type of matter or coordinate choice including non-linearities in the matter, e.g. scalar fields, cosmological defects, exotic dark energy.

Counting Variables

- 20 Variables (10 metric; 10 matter)
- -10 Einstein equations
 - -4 Conservation equations
 - +4 Bianchi identities
 - -4 Gauge (coordinate choice 1 time, 3 space)

6 Free Variables

- Without loss of generality these can be taken to be the 6 components of the matter stress tensor
- For the background, specify p(a) or equivalently $w(a) \equiv p(a)/\rho(a)$ the equation of state parameter.

Homogeneous Einstein Equations

• Einstein (Friedmann) equations:

$$\left(\frac{1}{a}\frac{da}{dt}\right)^2 = -\frac{K}{a^2} + \frac{8\pi G}{3}\rho \quad \left[=\left(\frac{1}{a}\frac{\dot{a}}{a}\right)^2\right]$$
$$\frac{1}{a}\frac{d^2a}{dt^2} = -\frac{4\pi G}{3}(\rho+3p) \quad \left[=\frac{1}{a^2}\frac{d}{d\eta}\frac{\dot{a}}{a}\right]$$

so that $w \equiv p/\rho < -1/3$ for acceleration

• Conservation equation $\nabla^{\mu}T_{\mu\nu} = 0$ implies

$$\frac{\dot{\rho}}{\rho} = -3(1+w)\frac{\dot{a}}{a}$$

overdots are conformal time but equally true with coordinate time

Homogeneous Einstein Equations

• Counting exercise:

- 20 Variables (10 metric; 10 matter)
- -17 Homogeneity and Isotropy
 - -2 Einstein equations
 - -1 Conservation equations
 - +1 Bianchi identities

1 Free Variables

without loss of generality choose ratio of homogeneous & isotropic component of the stress tensor to the density $w(a) = p(a)/\rho(a)$.

Acceleration Implies Negative Pressure

- Role of stresses in the background cosmology
- Homogeneous Einstein equations $G_{\mu\nu} = 8\pi G T_{\mu\nu}$ imply the two Friedmann equations (flat universe, or associating curvature $\rho_K = -3K/8\pi G a^2$)

$$\left(\frac{1}{a}\frac{da}{dt}\right)^2 = \frac{8\pi G}{3}\rho$$
$$\frac{1}{a}\frac{d^2a}{dt^2} = -\frac{4\pi G}{3}(\rho+3p)$$

so that the total equation of state $w \equiv p/\rho < -1/3$ for acceleration

• Conservation equation $\nabla^{\mu}T_{\mu\nu} = 0$ implies

$$\frac{\dot{\rho}}{\rho} = -3(1+w)\frac{\dot{a}}{a}$$

so that ρ must scale more slowly than a^{-2}

Scalar, Vector, Tensor

- In linear perturbation theory, perturbations may be separated by their transformation properties under 3D rotation and translation.
- The eigenfunctions of the Laplacian operator form a complete set

$$egin{array}{rcl}
abla^2 Q^{(0)} &=& -k^2 Q^{(0)} & {f S}\,, \
abla^2 Q^{(\pm 1)}_i &=& -k^2 Q^{(\pm 1)}_i & {f V}\,, \
abla^2 Q^{(\pm 2)}_{ij} &=& -k^2 Q^{(\pm 2)}_{ij} & {f T}\,, \end{array}$$

• Vector and tensor modes satisfy divergence-free and transverse-traceless conditions

$$\nabla^{i} Q_{i}^{(\pm 1)} = 0$$
$$\nabla^{i} Q_{ij}^{(\pm 2)} = 0$$
$$\gamma^{ij} Q_{ij}^{(\pm 2)} = 0$$

Vector and Tensor Quantities

- A scalar mode carries with it associated vector (curl-free) and tensor (longitudinal) quantities
- A vector mode carries and associated tensor (trace and divergence free) quantities
- A tensor mode has only a tensor (trace and divergence free)
- These are built from the mode basis out of covariant derivatives and the metric

$$Q_{i}^{(0)} = -k^{-1}\nabla_{i}Q^{(0)},$$

$$Q_{ij}^{(0)} = (k^{-2}\nabla_{i}\nabla_{j} + \frac{1}{3}\gamma_{ij})Q^{(0)},$$

$$Q_{ij}^{(\pm 1)} = -\frac{1}{2k} [\nabla_{i}Q_{j}^{(\pm 1)} + \nabla_{j}Q_{i}^{(\pm 1)}],$$

Perturbation k-Modes

• For the kth eigenmode, the scalar components become

 $A(\mathbf{x}) = A(k) Q^{(0)}, \qquad H_L(\mathbf{x}) = H_L(k) Q^{(0)},$ $\delta \rho(\mathbf{x}) = \delta \rho(k) Q^{(0)}, \qquad \delta p(\mathbf{x}) = \delta p(k) Q^{(0)},$

the vectors components become

$$B_i(\mathbf{x}) = \sum_{m=-1}^{1} B^{(m)}(k) Q_i^{(m)}, \quad v_i(\mathbf{x}) = \sum_{m=-1}^{1} v^{(m)}(k) Q_i^{(m)},$$

and the tensors components

$$H_{Tij}(\mathbf{x}) = \sum_{m=-2}^{2} H_T^{(m)}(k) Q_{ij}^{(m)}, \quad \Pi_{ij}(\mathbf{x}) = \sum_{m=-2}^{2} \Pi^{(m)}(k) Q_{ij}^{(m)},$$

• Note that the curvature perturbation only involves scalars

$$\delta[^{(3)}R] = \frac{4}{a^2}(k^2 - 3K)(H_L^{(0)} + \frac{1}{3}H_T^{(0)})Q^{(0)}$$

Spatially Flat Case

• For a spatially flat background metric, harmonics are related to plane waves:

$$Q^{(0)} = \exp(i\mathbf{k} \cdot \mathbf{x})$$

$$Q_i^{(\pm 1)} = \frac{-i}{\sqrt{2}} (\hat{\mathbf{e}}_1 \pm i\hat{\mathbf{e}}_2)_i \exp(i\mathbf{k} \cdot \mathbf{x})$$

$$Q_{ij}^{(\pm 2)} = -\sqrt{\frac{3}{8}} (\hat{\mathbf{e}}_1 \pm i\hat{\mathbf{e}}_2)_i (\hat{\mathbf{e}}_1 \pm i\hat{\mathbf{e}}_2)_j \exp(i\mathbf{k} \cdot \mathbf{x})$$

where $\hat{\mathbf{e}}_3 \parallel \mathbf{k}$. Chosen as spin states, c.f. polarization.

• For vectors, the harmonic points in a direction orthogonal to k suitable for the vortical component of a vector

Fourier Conventions

• Suppress volume terms by making Fourier representation dimensionful

$$F(\mathbf{x}) = \int \frac{d^3k}{(2\pi)^3} F(\mathbf{k}) e^{-i\mathbf{k}\cdot\mathbf{x}}, \quad F(\mathbf{k}) = \int d^3x F(\mathbf{x}) e^{i\mathbf{k}\cdot\mathbf{x}}$$
$$(2\pi)^3 \delta(\mathbf{k} - \mathbf{k}') = \int d^3x e^{i(\mathbf{k} - \mathbf{k}')\cdot\mathbf{x}}$$

• Reality of field

$$F^*(\mathbf{x}) = \int \frac{d^3k}{(2\pi)^3} F^*(\mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}} = \int \frac{d^3k}{(2\pi)^3} F^*(-\mathbf{k}) e^{-i\mathbf{k}\cdot\mathbf{x}}$$
$$= F(\mathbf{x}) = \int \frac{d^3k}{(2\pi)^3} F(\mathbf{k}) e^{-i\mathbf{k}\cdot\mathbf{x}}$$
$$F^*(-\mathbf{k}) = F(\mathbf{k})$$

Statistical Homogeneity and Isotropy

• Statistical homogeneity of two point correlation function

$$\begin{aligned} \langle F(\mathbf{x})F(\mathbf{x}')\rangle &= \langle \int \frac{d^3k}{(2\pi)^3} F^*(\mathbf{k})e^{i\mathbf{k}\cdot\mathbf{x}} \int \frac{d^3k'}{(2\pi)^3} F(\mathbf{k}')e^{-i\mathbf{k}'\cdot\mathbf{x}'}\rangle \\ &= \langle F(\mathbf{x}+\mathbf{d})F(\mathbf{x}'+\mathbf{d})\rangle \\ &= \int \frac{d^3k}{(2\pi)^3} \int \frac{d^3k'}{(2\pi)^3} \langle F^*(\mathbf{k})F(\mathbf{k}')\rangle e^{i\mathbf{k}\cdot\mathbf{x}}e^{-i\mathbf{k}'\cdot\mathbf{x}'}e^{i(\mathbf{k}-\mathbf{k}')\cdot\mathbf{d}'} \end{aligned}$$

• Requires 2pt is the Fourier transform of power spectrum

$$\langle F^*(\mathbf{k})F(\mathbf{k}')\rangle = (2\pi)^3 \delta(\mathbf{k} - \mathbf{k}')P_F(\mathbf{k})$$
$$\langle F(\mathbf{x})F(\mathbf{x}')\rangle = \int \frac{d^3k}{(2\pi)^3} P_F(\mathbf{k})e^{i\mathbf{k}\cdot(\mathbf{x}-\mathbf{x}')} = \xi(\mathbf{x} - \mathbf{x}')$$

• Statistical isotropy requires $P_F(\mathbf{k}) = P_F(k)$ and $\xi(\mathbf{x} - \mathbf{x}') = \xi(|\mathbf{x} - \mathbf{x}'|)$

N-point function and Gaussianity

• Generalize to N-point correlation function, e.g. 3pt

$$\langle F(\mathbf{x}_1)F(\mathbf{x}_2)F(\mathbf{x}_3)\rangle = \left[\prod_{i=1}^3 \int \frac{d^3k_i}{(2\pi)^3} e^{-i\mathbf{k}_i \cdot \mathbf{x}_i}\right] \langle F(\mathbf{k}_1)F(\mathbf{k}_2)F(\mathbf{k}_3)\rangle$$

• Statistical homogeneity requires the k_i to sum to zero and isotropy independence of orientation

$$\langle F(\mathbf{k}_1)F(\mathbf{k}_2)F(\mathbf{k}_3)\rangle = (2\pi)^3 \delta(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)B_F(k_1, k_2, k_3)$$

• Gaussian field: all N point correlation functions depend only on disconnected products of 2 point function or power spectrum, e.g. bispectrum is zero

Amplitude

• Variance

$$\sigma_F^2 \equiv \langle F(\mathbf{x})F(\mathbf{x})\rangle = \int \frac{d^3k}{(2\pi)^3} P_F(k)$$
$$= \int \frac{k^2 dk}{2\pi^2} \int \frac{d\Omega}{4\pi} P_F(k)$$
$$= \int d\ln k \frac{k^3}{2\pi^2} P_F(k)$$

• Define power per logarithmic interval

$$\Delta_F^2(k) \equiv \frac{k^3 P_F(k)}{2\pi^2}$$

• This quantity is dimensionless in all representations. Serves as a definition of the linear regime k's where $\Delta_F^2 \ll 1$

Linearity

• Fields related by a linear equation obey equation independent equations

$$F(\mathbf{x}) = AG(\mathbf{x}) + B \quad \rightarrow \quad F(\mathbf{k}) = AG(\mathbf{k}) \qquad (k > 0)$$

includes linear differential equation

$$\begin{split} F(\mathbf{x}) &= A \nabla G(\mathbf{k}) + B \\ F(\mathbf{k}) &= A \int d^3 x e^{i\mathbf{k} \cdot \mathbf{x}} \nabla \int \frac{d^3 k'}{(2\pi)^3} e^{-i\mathbf{k}' \cdot \mathbf{x}} G(\mathbf{k}') \\ &= A \int \frac{d^3 k'}{(2\pi)^3} \int d^3 x e^{i(\mathbf{k} - \mathbf{k}') \cdot \mathbf{x}} (-i\mathbf{k}') G(\mathbf{k}') = A(-i\mathbf{k}) G(\mathbf{k}) \end{split}$$

converts differential equations to algebraic relations

Convolution

Convolution in real space often occurs – smoothing of field by finite resolution and normalization ∫ d³xW(x) = 1

$$\begin{split} F_W(\mathbf{x}) &= \int d^3 y W(\mathbf{x} - \mathbf{y}) F(\mathbf{y}) \\ &= \int d^3 y \int \frac{d^3 k}{(2\pi)^3} W(\mathbf{k}) e^{-i\mathbf{k}\cdot(\mathbf{x} - \mathbf{y})} \int \frac{d^3 k'}{(2\pi)^3} F(\mathbf{k}') e^{-i\mathbf{k}'\cdot\mathbf{y}} \\ &= \int \frac{d^3 k}{(2\pi)^3} \int \frac{d^3 k'}{(2\pi)^3} e^{-i\mathbf{k}\cdot\mathbf{x}} W(\mathbf{k}) F(\mathbf{k}') \int d^3 y e^{i(\mathbf{k} - \mathbf{k}')\cdot\mathbf{y}} \\ &= \int \frac{d^3 k}{(2\pi)^3} W(\mathbf{k}) F(\mathbf{k}) e^{-i\mathbf{k}\cdot\mathbf{x}} \\ F_W(\mathbf{k}) &= W(\mathbf{k}) F(\mathbf{k}) \end{split}$$

 Smoothing acts as a low pass filter: if W(x) is a broad function of width L, W(k) suppressed for k > 2π/L

Convolution

• Filtered Variance

$$\langle F_W(\mathbf{x}) F_W(\mathbf{x}) \rangle = \int \frac{d^3k}{(2\pi)^3} \int \frac{d^3k'}{(2\pi)^3} e^{i(\mathbf{k}-\mathbf{k}')\cdot\mathbf{x}} \langle F^*(\mathbf{k})F(\mathbf{k}') \rangle W^*(\mathbf{k})W(\mathbf{k}')$$
$$= \int \frac{d^3k}{(2\pi)^3} P_F(k) |W(\mathbf{k})|^2$$

• Common filter is the spherical tophat:

$$W_R(\mathbf{x}) = V_R^{-1} \qquad x < R$$
$$W_R(\mathbf{x}) = 0 \qquad x > R$$

• Fourier transform

$$W_R(\mathbf{k}) = \frac{3}{y^3} (\sin y - y \cos y), \qquad (y = kR)$$

Normalization

• Normalization is often quoted as the top hat rms of the density field

$$\sigma_R^2 = \int d\ln k \,\Delta_\delta^2(k) |W_R(k)|^2$$

where observationally $\sigma_{8h^{-1}Mpc} \equiv \sigma_8 \approx 1$

- Note that Δ²_δ(k) itself can be thought of as the variance of the field with a filter that has sharp high and low pass filters in k-space
- Convention is that σ_R is defined against the linear density field, not the true non-linear density field

Spatially Flat Case

- Tensor harmonics are the transverse traceless gauge representation
- Tensor amplitude related to the more traditional

 $h_+[(\mathbf{e}_1)_i(\mathbf{e}_1)_j - (\mathbf{e}_2)_i(\mathbf{e}_2)_j], \qquad h_\times[(\mathbf{e}_1)_i(\mathbf{e}_2)_j + (\mathbf{e}_2)_i(\mathbf{e}_1)_j]$

as

$$h_+ \pm ih_\times = -\sqrt{6}H_T^{(\mp 2)}$$

• $H_T^{(\pm 2)}$ proportional to the right and left circularly polarized amplitudes of gravitational waves with a normalization that is convenient to match the scalar and vector definitions

Covariant Scalar Equations

- DOF counting exercise
 - 8 Variables (4 metric; 4 matter)
 - -4 Einstein equations
 - -2 Conservation equations
 - +2 Bianchi identities
 - -2 Gauge (coordinate choice 1 time, 1 space)
 - 2 Free Variables

without loss of generality choose scalar components of the stress tensor $\delta p, \Pi$.

Covariant Scalar Equations

• Einstein equations (suppressing 0) superscripts

$$\begin{split} (k^2 - 3K)[H_L + \frac{1}{3}H_T] &- 3(\frac{\dot{a}}{a})^2 A + 3\frac{\dot{a}}{a}\dot{H}_L + \frac{\dot{a}}{a}kB = \\ &= 4\pi Ga^2\delta\rho, \quad 00 \text{ Poisson Equation} \\ k^2(A + H_L + \frac{1}{3}H_T) + \left(\frac{d}{d\eta} + 2\frac{\dot{a}}{a}\right)(kB - \dot{H}_T) \\ &= -8\pi Ga^2p\Pi, \quad ij \text{ Anisotropy Equation} \\ \frac{\dot{a}}{a}A - \dot{H}_L - \frac{1}{3}\dot{H}_T - \frac{K}{k^2}(kB - \dot{H}_T) \\ &= 4\pi Ga^2(\rho + p)(v - B)/k, \quad 0i \text{ Momentum Equation} \\ \left[2\frac{\ddot{a}}{a} - 2\left(\frac{\dot{a}}{a}\right)^2 + \frac{\dot{a}}{a}\frac{d}{d\eta} - \frac{k^2}{3}\right]A - \left[\frac{d}{d\eta} + \frac{\dot{a}}{a}\right](\dot{H}_L + \frac{1}{3}kB) \\ &= 4\pi Ga^2(\delta p + \frac{1}{3}\delta\rho), \quad ii \text{ Acceleration Equation} \end{split}$$

Covariant Scalar Equations

• Conservation equations: continuity and Navier Stokes

$$\begin{split} & \left[\frac{d}{d\eta} + 3\frac{\dot{a}}{a}\right]\delta\rho + 3\frac{\dot{a}}{a}\delta p &= -(\rho+p)(kv+3\dot{H}_L)\,, \\ & \left[\frac{d}{d\eta} + 4\frac{\dot{a}}{a}\right]\left[(\rho+p)\frac{(v-B)}{k}\right] &= -\delta p - \frac{2}{3}(1-3\frac{K}{k^2})p\Pi + (\rho+p)A\,, \end{split}$$

- Equations are not independent since ∇_μG^{μν} = 0 via the Bianchi identities.
- Related to the ability to choose a coordinate system or "gauge" to represent the perturbations.

Gauge

- Metric and matter fluctuations take on different values in different coordinate system
- No such thing as a "gauge invariant" density perturbation!
- General coordinate transformation:

$$\widetilde{\eta} = \eta + T$$

 $\widetilde{x}^i = x^i + L^i$

free to choose (T, L^i) to simplify equations or physics corresponds to a choice of slicing and threading in ADM.

• Decompose these into scalar T, $L^{(0)}$ and vector harmonics $L^{(\pm 1)}$.

Gauge

• $g_{\mu\nu}$ and $T_{\mu\nu}$ transform as tensors, so components in different frames can be related

$$\widetilde{g}_{\mu\nu}(\widetilde{\eta}, \widetilde{x}^{i}) = \frac{\partial x^{\alpha}}{\partial \widetilde{x}^{\mu}} \frac{\partial x^{\beta}}{\partial \widetilde{x}^{\nu}} g_{\alpha\beta}(\eta, x^{i}) \\
= \frac{\partial x^{\alpha}}{\partial \widetilde{x}^{\mu}} \frac{\partial x^{\beta}}{\partial \widetilde{x}^{\nu}} g_{\alpha\beta}(\widetilde{\eta} - TQ, \widetilde{x}^{i} - LQ^{i})$$

- Fluctuations are compared at the same coordinate positions (not same space time positions) between the two gauges
- For example with a TQ perturbation, an event labeled with $\tilde{\eta} = \text{const.}$ and $\tilde{x} = \text{const.}$ represents a different time with respect to the underlying homogeneous and isotropic background

Gauge Transformation

• Scalar Metric:

$$\begin{split} \tilde{A} &= A - \dot{T} - \frac{\dot{a}}{a}T, \\ \tilde{B} &= B + \dot{L} + kT, \\ \tilde{H}_L &= H_L - \frac{k}{3}L - \frac{\dot{a}}{a}T, \\ \tilde{H}_T &= H_T + kL, \qquad \tilde{H}_L + \frac{1}{3}\tilde{H}_T = H_L + \frac{1}{3}H_T - \frac{\dot{a}}{a}T \end{split}$$

curvature perturbation depends on slicing not threading

• Scalar Matter (*J*th component):

$$\begin{split} \delta \tilde{\rho}_J &= \delta \rho_J - \dot{\rho}_J T, \\ \delta \tilde{p}_J &= \delta p_J - \dot{p}_J T, \\ \tilde{v}_J &= v_J + \dot{L}, \end{split}$$

density and pressure likewise depend on slicing only

Gauge Transformation

• Vector:

$$\tilde{B}^{(\pm 1)} = B^{(\pm 1)} + \dot{L}^{(\pm 1)},
\tilde{H}^{(\pm 1)}_T = H^{(\pm 1)}_T + kL^{(\pm 1)},
\tilde{v}^{(\pm 1)}_J = v^{(\pm 1)}_J + \dot{L}^{(\pm 1)},$$

• Spatial vector has no background component hence no dependence on slicing at first order

Tensor: no dependence on slicing or threading at first order

- Gauge transformations and covariant representation can be extended to higher orders
- A coordinate system is fully specified if there is an explicit prescription for (T, L^i) or for scalars (T, L)

Slicing

Common choices for slicing T: set something geometric to zero

- Proper time slicing A = 0: proper time between slices corresponds to coordinate time T allows c/a freedom
- Comoving (velocity orthogonal) slicing: v B = 0, matter 4 velocity is related to N^ν and orthogonal to slicing T fixed
- Newtonian (shear free) slicing: $\dot{H}_T kB = 0$, expansion rate is isotropic, shear free, T fixed
- Uniform expansion slicing: $-(\dot{a}/a)A + \dot{H}_L + kB/3 = 0$, perturbation to the volume expansion rate θ vanishes, T fixed
- Flat (constant curvature) slicing, $\delta^{(3)}R = 0$, $(H_L + H_T/3 = 0)$, T fixed
- Constant density slicing, $\delta \rho_I = 0$, T fixed

Threading

• Threading specifies the relationship between constant spatial coordinates between slices and is determined by *L*

Typically involves a condition on v, B, H_T

- Orthogonal threading B = 0, constant spatial coordinates orthogonal to slicing (zero shift), allows $\delta L = c$ translational freedom
- Comoving threading v = 0, allows $\delta L = c$ translational freedom.
- Isotropic threading $H_T = 0$, fully fixes L

Newtonian (Longitudinal) Gauge

• Newtonian (shear free slicing, isotropic threading):

$$\tilde{B} = \tilde{H}_T = 0$$

$$\Psi \equiv \tilde{A} \quad \text{(Newtonian potential)}$$

$$\Phi \equiv \tilde{H}_L \quad \text{(Newtonian curvature)}$$

$$L = -H_T/k$$

$$T = -B/k + \dot{H}_T/k^2$$

Good: intuitive Newtonian like gravity; matter and metric algebraically related; commonly chosen for analytic CMB and lensing work

Bad: numerically unstable

Newtonian (Longitudinal) Gauge

• Newtonian (shear free) slicing, isotropic threading $B = H_T = 0$:

$$(k^{2} - 3K)\Phi = 4\pi Ga^{2} \left[\delta\rho + 3\frac{\dot{a}}{a}(\rho + p)v/k \right] \quad \text{Poisson + Momentum}$$
$$k^{2}(\Psi + \Phi) = -8\pi Ga^{2}p\Pi \quad \text{Anisotropy}$$

so $\Psi = -\Phi$ if anisotropic stress $\Pi = 0$ and

$$\begin{split} \left[\frac{d}{d\eta} + 3\frac{\dot{a}}{a}\right]\delta\rho + 3\frac{\dot{a}}{a}\deltap &= -(\rho+p)(kv+3\dot{\Phi})\,,\\ \left[\frac{d}{d\eta} + 4\frac{\dot{a}}{a}\right](\rho+p)v &= k\delta p - \frac{2}{3}(1-3\frac{K}{k^2})p\,k\Pi + (\rho+p)\,k\Psi\,, \end{split}$$

- Newtonian competition between stress (pressure and viscosity) and potential gradients
- Note: Poisson source is the density perturbation on comoving slicing

Total Matter Gauge

• Total matter: (comoving slicing, isotropic threading)

$$\tilde{B} = \tilde{v} \quad (T_i^0 = 0)$$

$$H_T = 0$$

$$\xi = \tilde{A}$$

$$\mathcal{R} = \tilde{H}_L \quad \text{(comoving curvature)}$$

$$\Delta = \tilde{\delta} \quad \text{(total density pert)}$$

$$T = (v - B)/k$$

$$L = -H_T/k$$

Good: Algebraic relations between matter and metric; comoving curvature perturbation obeys conservation law Bad: Non-intuitive threading involving v

Total Matter Gauge

• Euler equation becomes an algebraic relation between stress and potential

$$(\rho + p)\xi = -\delta p + \frac{2}{3}\left(1 - \frac{3K}{k^2}\right)p\Pi$$

• Einstein equation lacks momentum density source

$$\frac{\dot{a}}{a}\xi - \dot{\mathcal{R}} - \frac{K}{k^2}kv = 0$$

Combine: \mathcal{R} is conserved if stress fluctuations negligible, e.g. above the horizon if $|K| \ll H^2$

$$\dot{\mathcal{R}} + Kv/k = \frac{\dot{a}}{a} \left[-\frac{\delta p}{\rho + p} + \frac{2}{3} \left(1 - \frac{3K}{k^2} \right) \frac{p}{\rho + p} \Pi \right] \to 0$$

"Gauge Invariant" Approach

- Gauge transformation rules allow variables which take on a geometric meaning in one choice of slicing and threading to be accessed from variables on another choice
- Functional form of the relationship between the variables is gauge invariant (*not* the variable values themselves! i.e. equation is *covariant*)
- E.g. comoving curvature and density perturbations

$$\mathcal{R} = H_L + \frac{1}{3}H_T - \frac{\dot{a}}{a}(v-B)/k$$
$$\Delta \rho = \delta \rho + 3(\rho+p)\frac{\dot{a}}{a}(v-B)/k$$

Newtonian-Total Matter Hybrid

- With the gauge in(*or co*)variant approach, express variables of one gauge in terms of those in another allows a mixture in the equations of motion
- Example: Newtonian curvature and comoving density

$$(k^2 - 3K)\Phi = 4\pi G a^2 \rho \Delta$$

ordinary Poisson equation then implies Φ approximately constant if stresses negligible.

• Example: Exact Newtonian curvature above the horizon derived through comoving curvature conservation

Gauge transformation

$$\Phi = \mathcal{R} + \frac{\dot{a}}{a} \frac{v}{k}$$

Hybrid "Gauge Invariant" Approach

Einstein equation to eliminate velocity

$$\frac{\dot{a}}{a}\Psi - \dot{\Phi} = 4\pi G a^2 (\rho + p) v/k$$

Friedmann equation with no spatial curvature

$$\left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3}a^2\rho$$

With $\dot{\Phi} = 0$ and $\Psi \approx -\Phi$

$$\frac{\dot{a}}{a}\frac{v}{k} = -\frac{2}{3(1+w)}\Phi$$

Newtonian-Total Matter Hybrid

Combining gauge transformation with velocity relation

$$\Phi = \frac{3+3w}{5+3w}\mathcal{R}$$

Usage: calculate \mathcal{R} from inflation determines Φ for any choice of matter content or causal evolution.

 Example: Scalar field ("quintessence" dark energy) equations in total matter gauge imply a sound speed δp/δρ = 1 independent of potential V(φ). Solve in synchronous gauge.

Synchronous Gauge

• Synchronous: (proper time slicing, orthogonal threading)

$$\tilde{A} = \tilde{B} = 0$$

$$\eta_T \equiv -\tilde{H}_L - \frac{1}{3}\tilde{H}_T$$

$$h_L \equiv 6H_L$$

$$T = a^{-1}\int d\eta a A + c_1 a^{-1}$$

$$L = -\int d\eta (B + kT) + c_2$$

Good: stable, the choice of numerical codes

Bad: residual gauge freedom in constants c_1 , c_2 must be specified as an initial condition, intrinsically relativistic, threading conditions breaks down beyond linear regime if c_1 is fixed to CDM comoving.

Synchronous Gauge

• The Einstein equations give

$$\dot{\eta}_T - \frac{K}{2k^2}(\dot{h}_L + 6\dot{\eta}_T) = 4\pi G a^2(\rho + p)\frac{v}{k},$$
$$\ddot{h}_L + \frac{\dot{a}}{a}\dot{h}_L = -8\pi G a^2(\delta\rho + 3\delta p),$$
$$-(k^2 - 3K)\eta_T + \frac{1}{2}\frac{\dot{a}}{a}\dot{h}_L = 4\pi G a^2\delta\rho$$

[choose (1 & 2) or (1 & 3)] while the conservation equations give

$$\begin{bmatrix} \frac{d}{d\eta} + 3\frac{\dot{a}}{a} \end{bmatrix} \delta\rho_J + 3\frac{\dot{a}}{a}\delta p_J = -(\rho_J + p_J)(kv_J + \frac{1}{2}\dot{h}_L),$$
$$\begin{bmatrix} \frac{d}{d\eta} + 4\frac{\dot{a}}{a} \end{bmatrix} (\rho_J + p_J)\frac{v_J}{k} = \delta p_J - \frac{2}{3}(1 - 3\frac{K}{k^2})p_J\Pi_J.$$

Synchronous Gauge

- Lack of a lapse A implies no gravitational forces in Navier-Stokes equation. Hence for stress free matter like cold dark matter, zero velocity initially implies zero velocity always.
- Choosing the momentum and acceleration Einstein equations is good since for CDM domination, curvature η_T is conserved and \dot{h}_L is simple to solve for.
- Choosing the momentum and Poisson equations is good when the equation of state of the matter is complicated since δp is not involved. This is the choice of CAMB.
 - Caution: since the curvature η_T appears and it has zero CDM source, subtle effects like dark energy perturbations are important everywhere

Spatially Flat Gauge

• Spatially Flat (flat slicing, isotropic threading):

$$\tilde{H}_{L} = \tilde{H}_{T} = 0$$

$$L = -H_{T}/k$$

$$\tilde{A}, \tilde{B} = \text{metric perturbations}$$

$$T = \left(\frac{\dot{a}}{a}\right)^{-1} \left(H_{L} + \frac{1}{3}H_{T}\right)$$

Good: eliminates spatial metric in evolution equations; useful in inflationary calculations (Mukhanov et al)

Bad: non-intuitive slicing (no curvature!) and threading

• Caution: perturbation evolution is governed by the behavior of stress fluctuations and an isotropic stress fluctuation δp is gauge dependent.

• Uniform density: (constant density slicing, isotropic threading)

$$H_T = 0,$$

$$\zeta_I \equiv H_L$$

$$B_I \equiv B$$

$$A_I \equiv A$$

$$T = \frac{\delta \rho_I}{\dot{\rho}_I}$$

$$L = -H_T/k$$

Good: Curvature conserved involves only stress energy conservation; simplifies isocurvature treatmentBad: non intuitive slicing (no density pert! problems beyond linear regime) and threading

• Einstein equations with I as the total or dominant species

$$(k^{2} - 3K)\zeta_{I} - 3\left(\frac{\dot{a}}{a}\right)^{2}A_{I} + 3\frac{\dot{a}}{a}\dot{\zeta}_{I} + \frac{\dot{a}}{a}kB_{I} = 0,$$
$$\frac{\dot{a}}{a}A_{I} - \dot{\zeta}_{I} - \frac{K}{k}B_{I} = 4\pi Ga^{2}(\rho + p)\frac{v - B_{I}}{k},$$

• The conservation equations (if J = I then $\delta \rho_J = 0$)

$$\left[\frac{d}{d\eta} + 3\frac{\dot{a}}{a}\right]\delta\rho_J + 3\frac{\dot{a}}{a}\delta p_J = -(\rho_J + p_J)(kv_J + 3\dot{\zeta}_I),$$
$$\left[\frac{d}{d\eta} + 4\frac{\dot{a}}{a}\right](\rho_J + p_J)\frac{v_J - B_I}{k} = \delta p_J - \frac{2}{3}(1 - 3\frac{K}{k^2})p_J\Pi_J + (\rho_J + p_J)A_I.$$

• Conservation of curvature - single component *I*

$$\dot{\zeta}_I = -\frac{\dot{a}}{a} \frac{\delta p_I}{\rho_I + p_I} - \frac{1}{3} k v_I \,.$$

- Since δρ_I = 0, δp_I is the non-adiabatic stress and curvature is constant as k → 0 for internally adiabatic stresses p_I(ρ_I).
- Note that this conservation law does not involve the Einstein equations at all: just local energy momentum conservation so it is valid for alternate theories of gravity
- Curvature on comoving slices \mathcal{R} and ζ_I related by

$$\zeta_I = \mathcal{R} + \frac{1}{3} \frac{\rho_I \Delta_I}{(\rho_I + p_I)} \Big|_{\text{comoving}}$$

and coincide above the horizon for adiabatic fluctuations

• Simple relationship to density fluctuations in the spatially flat gauge

$$\zeta_I = \frac{1}{3} \frac{\delta \tilde{\rho}_I}{(\rho_I + p_I)} \Big|_{\text{flat}}.$$

- For each particle species $\delta \rho / (\rho + p) = \delta n / n$, the number density fluctuation
- Multiple ζ_J carry information about number density fluctuations between species
- ζ_J constant component by component outside horizon if each component is adiabatic $p_J(\rho_J)$.

Poisson Equation

• Naive expectation: $\Phi = -\Psi$ and

 $\nabla^2 \Phi = -4\pi G a^2 \delta \rho$ $k^2 \Phi = 4\pi G a^2 \rho \delta$

where a^2 comes from physical \rightarrow comoving and $\delta \rho$ since background density goes into scale factor evolution

• Einstein equations put in a relativistic correction (flat universe)

$$k^{2}\Phi = 4\pi G a^{2}\rho [\delta + 3\frac{\dot{a}}{a}(1+w)v/k]$$
$$k^{2}(\Phi + \Psi) = -8\pi G a^{2}p\pi$$

convenient to call combination

$$\Delta \equiv \delta + 3\frac{\dot{a}}{a}(1+w)v/k$$

Constancy of Potential & Growth Rate

- Given the Poisson equation relates a redshifting total density ρ and the comoving derivative factor a the density perturbation must grow as $\Delta \propto (a^2 \rho)^{-1} \propto a^{1-3w}$ to maintain a constant potential.
- Density perturbations are stabilized by the expanding universe (expansion drag) and do not grow exponentially. Presents a new version of the horizon problem.
- Naive (Newtonian) argument: in the absence of stress perturbations the Euler equation takes the form $\dot{v} \sim k\Psi$
- Given an initial potential perturbation Ψ_i a velocity perturbation $v \sim (k\eta)\Psi_i$
- Given a velocity perturbation continuity grows a density fluctuation as $\dot{\Delta} \sim -kv$ or $\Delta = -(k\eta)^2 \Psi_i$.

Constancy of Potential & Growth Rate

• The growing density perturbation is exactly that required to maintain the potential constant

$$\Psi \approx -\frac{4\pi G a^2 \rho}{k^2} \Delta \approx \frac{4\pi G a^2 \rho}{k^2} (k\eta)^2 \Psi_i$$

 $\eta \propto a^{(1+3w)/2}, a^2 \rho \propto a^{-(1+3w)}$

- Under gravity alone, the density fluctuations grow just fast enough to maintain constant potentials
- Stress fluctuations only decrease the rate of growth of the potential. Starting from an unperturbed $\Psi_i = 0$ universe, where do the fluctuations that form large scale structure come from

• A proper relativistic generalization involves the $(\dot{a}/a)v/k$ corrections, called the Bardeen (or comoving) curvature

$$\mathcal{R} \equiv \Phi - \frac{\dot{a}}{a} v/k \,.$$

- Geometric meaning: space curvature fluctuation on comoving (velocity-orthogonal-isotropic) time slicing
- Same time slicing gives Δ as the density perturbation

• Continuity equation becomes

$$\dot{\Delta} = -3\frac{\dot{a}}{a} \left(C_s^2 - w\right) \Delta - (1+w)(kv + 3\dot{\mathcal{R}}),$$

where the transformed sound speed

$$C_s^2 \equiv \frac{\Delta p}{\Delta \rho}$$
$$\Delta p \equiv \delta p - \dot{p}v/k$$

• Euler equation becomes

$$\begin{split} \dot{\mathcal{R}} &= \frac{\dot{a}}{a}\xi \\ \xi &= -\frac{C_s^2}{1+w}\Delta + \frac{2}{3}\frac{w}{1+w}\pi \,. \end{split}$$

- So that the Bardeen curvature only changes in the presence of stress fluctuations scales below the horizon
- Extremely useful result (proven in problem set) says that calculated \mathcal{R} once and for all e.g. during formation in an inflationary epoch
- Relationship to gravitational potential: (from Poisson & conservation equations)

$$\frac{\dot{a}}{a}\Psi - \dot{\Phi} = 4\pi G a^2 (\rho + p) v/k$$

so that if Φ constant and $\Psi = -\Phi$ then

$$-\left(\frac{\dot{a}}{a}\right)^2 \Phi = 4\pi G a^2 \rho (1+w) \frac{\dot{a}}{a} v/k$$
$$= \frac{3}{2} \left(\frac{\dot{a}}{a}\right)^2 (1+w) \frac{\dot{a}}{a} v/k$$

• Relationship between the curvature Φ and v

$$\frac{\dot{a}}{a}v/k = -\frac{2}{3(1+w)}\Phi \quad \rightarrow \mathcal{R} = \left[1 + \frac{2}{3(1+w)}\right]\Phi$$

- Matter dominated Φ = 3R/5, radiation dominated Φ = 2R/3, Λ dominated Φ → 0.
- So: put these pieces together assuming dark energy is smooth

$$\frac{k^3}{2\pi^2} P_{\Delta}(k) = \left(\frac{k^2}{4\pi G a^2 \rho_m}\right)^2 \frac{k^3}{2\pi^2} P_{\Phi}(k)$$
$$= \left(\frac{k^2}{4\pi G a^2 \rho_m}\right)^2 \frac{k^3}{2\pi^2} P_{\Phi}(k)$$
$$= \frac{4}{9} \frac{a^2 k^4}{\Omega_m^2 H_0^4} \frac{k^3}{2\pi^2} P_{\Phi}(k)$$

• Assume initial curvature power spectrum

$$\frac{k^3}{2\pi^2} P_{\mathcal{R}}(k) = A_S \left(\frac{k}{k_{\text{norm}}}\right)^{n_S - 1}$$

and a transfer function T(k) that defines the subhorizon evolution which is influenced by pressure effects during radiation domination

Finally normalize to the matter dominated expectation and take
 Φ = [3G(a)/5] R where G(a) is the modification to the growth rate of Φ due to the dark energy and curvature

$$\Phi(a,k) = \frac{3}{5}G(a)T(k)\mathcal{R}(0,k)$$

$$\frac{k^3}{2\pi^2} P_{\Delta}(k) = \frac{4}{25} A_S \left(\frac{G(a)a}{\Omega_m}\right)^2 \left(\frac{k}{H_0}\right)^4 \left(\frac{k}{k_{\text{norm}}}\right)^{n_S-1} T^2(k)$$

Transfer Function

• Transfer function transfers the initial Newtonian curvature to its value today (linear response theory)

$$T(k) = \frac{\Phi(k, a = 1)}{\Phi(k, a_{\text{init}})} \frac{\Phi(k_{\text{norm}}, a_{\text{init}})}{\Phi(k_{\text{norm}}, a = 1)}$$

- Conservation of Bardeen curvature: Newtonian curvature is a constant when stress perturbations are negligible: above the horizon during radiation and dark energy domination, on all scales during matter domination
- When stress fluctuations dominate, perturbations are stabilized by the Jeans mechanism
- Hybrid Poisson equation: Newtonian curvature, comoving density perturbation $\Delta \equiv (\delta \rho / \rho)_{com}$ implies Φ decays

$$(k^2 - 3K)\Phi = 4\pi G\rho\Delta \sim \eta^{-2}\Delta$$

Transfer Function

• Freezing of Δ stops at $\eta_{\rm eq}$

$$\Phi \sim (\mathbf{k}\eta_{\rm eq})^{-2}\Delta_H \sim (\mathbf{k}\eta_{\rm eq})^{-2}\Phi_{\rm init}$$

- Transfer function has a k^{-2} fall-off beyond $k_{\rm eq} \sim \eta_{\rm eq}^{-1}$
- Small correction since growth with a smooth radiation component is logarithmic not frozen
- Transfer function is a direct output of an Einstein-Boltzmann code

Fitting Function

• Alternately accurate fitting formula exist, e.g. pure CDM form:

$$T(k(q)) = \frac{L(q)}{L(q) + C(q)q^2}$$

$$L(q) = \ln(e + 1.84q)$$

$$C(q) = 14.4 + \frac{325}{1 + 60.5q^{1.11}}$$

$$q = k/\Omega_m h^2 \text{Mpc}^{-1} (T_{\text{CMB}}/2.7K)^2$$

• In $h \text{ Mpc}^{-1}$, the critical scale depends on $\Gamma \equiv \Omega_m h$ also known as the shape parameter

Transfer Function

• Numerical calculation



Dark Matter and the Transfer Function

- Baryons caught up in the acoustic oscillations of the CMB and impart acoustic wiggles to the transfer function. Density enhancements are produced kinematically through the continuity equation δ_b ~ (kη)v_b and hence are out of phase with CMB temperature peaks
- Dissipation of the acoustic oscillations eliminates both the CMB and baryon perturbations known as Silk damping for the baryons. This suppression and the general fact that baryons are caught up with photons was one of the main arguments for CDM
- Neutrino dark matter suffers similar effects and hence cannot be the main component of dark matter in the universe

Massive Neutrinos

- Relativistic stresses of a light neutrino slow the growth of structure
- Neutrino species with cosmological abundance contribute to matter as $\Omega_{\nu}h^2 = \sum m_{\nu}/94$ eV, suppressing power as $\Delta P/P \approx -8\Omega_{\nu}/\Omega_m$
- Current data from 2dF galaxy survey and CMB indicate

 ∑ m_ν < 0.9eV assuming a ΛCDM model with constant tilt based
 on the shape of the transfer function.

Growth Function

- Same physics applies to the dark energy dominated universe
- Under the dark energy sound horizon or Jeans scale, dark energy density frozen. Potential decays at the same rate for all scales

$$G(a) = \frac{\Phi(k_{\text{norm}}, a)}{\Phi(k_{\text{norm}}, a_{\text{init}})} \qquad \prime \equiv \frac{d}{d \ln a}$$

• Continuity + Euler + Poisson

$$G'' + \left(1 - \frac{\rho''}{\rho'} + \frac{1}{2}\frac{\rho_c'}{\rho_c}\right)G' + \left(\frac{1}{2}\frac{\rho_c' + \rho'}{\rho_c} - \frac{\rho''}{\rho'}\right)G = 0$$

where ρ is the Jeans unstable matter and ρ_c is the critical density

Dark Energy Growth Suppression

• Pressure growth suppression: $\delta \equiv \delta \rho_m / \rho_m \propto aG$

$$\frac{d^2 G}{d \ln a^2} + \left[\frac{5}{2} - \frac{3}{2}w(z)\Omega_{DE}(z)\right]\frac{dG}{d \ln a} + \frac{3}{2}[1 - w(z)]\Omega_{DE}(z)G = 0,$$

where $w \equiv p_{DE}/\rho_{DE}$ and $\Omega_{DE} \equiv \rho_{DE}/(\rho_m + \rho_{DE})$ with initial conditions G = 1, $dg/d \ln a = 0$

- As $\Omega_{DE} \to 0$ G =const. is a solution. The other solution is the decaying mode, elimated by initial conditions
- As Ω_{DE} → 1 G ∝ a⁻¹ is a solution. Corresponds to a frozen density field.

Velocity field

• Continuity gives the velocity from the density field as

$$v = -\dot{\Delta}/k = -\frac{aH}{k}\frac{d\Delta}{d\ln a}$$
$$= -\frac{aH}{k}\Delta\frac{d\ln(ag)}{d\ln a}$$

- In a ACDM model or open model $d\ln(ag)/d\ln a \approx \Omega_m^{0.6}$
- Measuring both the density field and the velocity field (through distance determination and redshift) allows a measurement of Ω_m
- Practically one measures $\beta = \Omega_m^{0.6}/b$ where b is a bias factor for the tracer of the density field, i.e. with galaxy numbers $\delta n/n = b\Delta$
- Can also measure this factor from the redshift space power spectrum - the Kaiser effect where clustering in the radial direction is apparently enhanced by gravitational infall

Gravitational Lensing

• Gravitational potentials along the line of sight $\hat{\mathbf{n}}$ to some source at comoving distance D_s lens the images according to (flat universe)

$$\phi(\hat{\mathbf{n}}) = 2 \int dD \frac{D_s - D}{DD_s} \Phi(D\hat{\mathbf{n}}, \eta(D))$$

remapping image positions as

$$\hat{\mathbf{n}}^{I} = \hat{\mathbf{n}}^{S} + \nabla_{\hat{\mathbf{n}}}\phi(\hat{\mathbf{n}})$$

• Since absolute source position is unknown, use image distortion defined by the Jacobian matrix

$$\frac{\partial n_i^I}{\partial n_j^S} = \delta_{ij} + \psi_{ij}$$

Weak Lensing

Small image distortions described by the convergence κ and shear components (γ₁, γ₂)

$$\psi_{ij} = \left(\begin{array}{cc} \kappa - \gamma_1 & -\gamma_2 \\ -\gamma_2 & \kappa + \gamma_1 \end{array}\right)$$

where $\nabla_{\hat{\mathbf{n}}} = D\nabla$ and

$$\psi_{ij} = 2 \int dD \frac{D(D_s - D)}{D_s} \nabla_i \nabla_j \Phi(D\hat{\mathbf{n}}, \eta(D))$$

• In particular, through the Poisson equation the convergence (measured from shear) is simply the projected mass

$$\kappa = \frac{3}{2} \Omega_m H_0^2 \int dD \frac{D(D_s - D)}{D_s} \frac{\Delta(D\hat{\mathbf{n}}, \eta(D))}{a}$$